



ATC

CODE OF PRACTICE

A Code of Practice devised by the members of the European lubricant additive industry. The Code is intended to aid continuous improvement in the development of engine lubricants and the consistency and validity of performance claims made for them.

The Code specifies engine tests, procedures and record keeping.

January 23, 2024

Supersedes all previous issues. Applicable to all new engine lubricant developments initiated after the date of this issue.

COMMENTS OR QUERIES:

In the event of comments on or queries arising from this Code of Practice and its interpretation, then the matter shall be presented by those concerned to the ATC Main Committee for adjudication.

The Chairman of ATC may be contacted at:

ATC Office
Avenue de Tervueren 188A, box 4
B-1150 Bruxelles,
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ISSUE, DISTRIBUTION, AND UPDATE

This Code of Practice is available only in electronic form at the ATC-ERC website, www.atc-erc.org and the ATC website, www.atc-europe.org. It may be downloaded and printed through the use of Adobe Acrobat Reader software, also available at the website.

This Code will be updated, as changes are required. All notification of changes will be via e-mail. To have your name included on the distribution list, please send your e-mail address to:

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What's New in this version of Code?

Code of Practice Content Changes – The full text of the Code of Practice revisions are highlighted in *red italics* and are intentionally shown throughout the code in the relevant sections of the document. This provides practitioners of the code with a clear and complete understanding of the revisions included in the current release without having to reference prior versions of the code. Descriptions of the revisions included in this release of the code are shown in the following paragraphs:

Current Test Procedures and Categories

- Wording for current tests and applicable categories has been updated. Affected section/page:
 - *Section d.2, Test Procedures Table*

Base Stock Matrix Approach Example

- The base stock matrix approach example has been updated to a current ACEA category. Affected section/page:
 - *Example table and preface text in Section h.7.2*

Updated Registration Forms

- The header graphics of the registration forms have been updated to the current ERC banner. Affected section/page:
 - *Forms E.1, E.2, E.3, and E.4*

Section A: Executive Summary	A.1-A.2
Section B: Test Sponsors Requirements:	B.1-B.4
Registration	B.1
Compliance and Audit Process	B.2-B.3
Audit Guide to Self Evaluation Check List of Compliance	B.3-B.4
Section C: Engine Test Laboratory Requirements:	C.1-C.2
Registration	C.1
Accreditation and Compliance	C.1-C.2
Audit	C.2
Section D: Listed Engine Test Procedures	D.1-D.3
Test Procedures	D.1
Acceptance of Tests into Code	D.2
Classification of Operationally Valid and Completed Test Data	D.2-3
Section E: Registration of Tests	E.1-E.5
Registration Activities	E.1-E.3
Schedule of Registration Activities	E.3
Codings used for Registration Forms. Candidate Tests	E.4
Coding used for Registration Forms. Reference Tests	E.5
Section F: Engine Test Reports	F.1-F.2
Section G: ATC Candidate Data Package	G.1-G.2
Section H: Formulation Modifications	H.1-H.8
Modifications within an ATC Data Set or Programme	H.1-H.4
Programme Extensions	H.4-H.8
Section I: Glossary and Definition of Terms	I.1-I.6
Section J: Acronyms	J.1
Appendix K: ATC Bulletins	Separate Document
Appendix 1: Forms	
Form B.1: Letter of Intent	
Form B.2: Test Sponsor Self-Evaluation Checklist of Compliance	
Form E.1: Test Registration Form—Candidate Lubricants	
Form E.2: Test Registration Form—Reference Lubricants	
Form E.3: Cancellation Form for Candidate and Reference Tests	
Form E.4: Correction of Error Form	
Appendix 2: Adherence to Reference Protocol And Code of Practice Issue Resolution Process	
Appendix 3: Checklist of Test Laboratory Requirements for Participating in ERC Registration	

Section A EXECUTIVE SUMMARY

- a.1 This Code of Practice has been voluntarily devised by representatives of member companies of the Technical Committee of Petroleum Additive Manufacturers in Europe AISBL (ATC). Compliance with the Code is voluntary and is not restricted to ATC members. The Code is intended to encourage both the consistent and precise operation of engine testing and the consistent reporting of results during the performance evaluation of automotive lubricant formulations. It is also intended to generate a body of reference data and knowledge concerning the precision and consistency of operation of test methods embraced by the Code.
- a.2 The Code distinguishes between:
Test Sponsors who commission candidate lubricant test work with Test Laboratories under the Code either for themselves or for their clients; and
Test Laboratories which conduct candidate tests under the Code on behalf of Test Sponsors and also conduct reference lubricant test work.
- a.3 Test Sponsors and Test Laboratories are required to register with the European Registration Centre (ERC), which forms a part of the European Engine Lubricant Quality Management System (EELQMS), and those who wish to be in compliance must undertake to comply with all of the requirements of the Code by means of annual Letters of Intent. An audit process forms part of the requirements. See Section B and Section C. Test Sponsors are strongly encouraged to provide Letters of Intent.
- a.4 The Code is based upon the ISO 9000 international quality system. All participating Test Laboratories shall comply, or be in the process of complying with this system. Only Test Laboratory facilities that have been accredited to the international standard ISO17025 for the relevant procedures shall be used under the Code. See Section C.
- a.5 The Code specifies internationally recognised engine tests which must be operated to the prescribed procedures by participants of the Code. Participating Test Laboratories must be active members of any relevant bodies which are developing or monitoring listed engine test procedures. See Section C and Section D.
- a.6 All reference tests, and candidate lubricant engine tests conducted under the Code which are intended to support candidate lubricant performance claims, must be registered with ERC before testing begins. At the completion of registered test work, the Test Validity Statement together with a summary of results must be declared both to ERC and to the Test Sponsor. A specified formulation/test coding sequence must be used to facilitate tracking of test programmes. See Section E.
- a.7 The Code specifies minimum levels of information which must be disclosed by Test Laboratories to both ERC and to Test Sponsors. See Section F.

Section A continued

- a.8 The Code specifies the minimum contents of the Candidate Data Package which must be used by Test Sponsors to declare to their customers the results of testing carried out under the Code. This information will include disclosure of any formulation changes which may have been made during the development programme and which support the final candidate formulation. Permissible formulation modifications are specified in the Code. See Section G and Section H.

- a.9 The Code employs precisely defined terms to aid consistent interpretation. See Section I.

REGISTRATION OF TEST SPONSORS

b.1 Organisations (Test Sponsors) wishing to commission lubricant engine testing under the Code of Practice shall apply to ERC (European Registration Centre) for a Test Sponsor Identity Code (Sponsor ID).

b.2 Test Sponsors are encouraged to sign a Letter of Intent; this should be submitted to ERC.

It is implicit within the Letter of Intent that Test Sponsors authorise ERC a) to include their industry reference oil test results in the release of unattributable test data; and b) to conduct analysis of their candidate test results for inclusion in release of unattributable test data. No individual or actual candidate results will be published.

Groups that wish to obtain unattributable test data shall submit their request through their ATC representative to the ATC Performance Testing Subcommittee (PTS) for consideration. The request will only be granted when consensus is agreed to by ATC membership.

A Test Sponsor's continued use of their Sponsor ID authorises ERC to include their candidate test results and any reference test results within release of unattributable test data as specified above. (This has been implicit since April 1st 1999).

Any Test Sponsor or Test Laboratory may request an ID and password to access the ATC-ERC website in order to review unattributable reference oil data.

b.3 The Letter of Intent confirms that all candidate lubricant engine testing with any of the engine test procedures listed in this Code and commissioned anywhere in the world will be conducted under the conditions of the Code. Similarly, all reference lubricant engine testing for the prescribed methods will be conducted under the same conditions.

Organisations may elect to run lubricant engine tests for research purposes outside the remit of this Code. Such research lubricant tests, for example, need not be registered but their results cannot then be used as primary support in an ATC Data Set or Programme.

b.4 Whilst it is not obligatory for Test Sponsors to be certified as complying with the ISO 9000 system, it is recommended that such certification should be sought.

Section B continued

TEST SPONSOR COMPLIANCE AND AUDIT PROCESS

- b.5 The Code requires that compliance or the intention to comply be notified to ATC before any claims to follow the Code are made. A standard Letter of Intent shall be delivered to ERC before April 1st of each year; each annual period shall be from 1st April to 31st March. The required format of the letter is shown in [Appendix 1, Form B.1: "Letter of Intent"](#).
- b.6 In order to comply with this Code of Practice, Test Sponsors shall conduct an annual audit (see [Page B.3](#)) which must be based on the Self Evaluation Check List of Compliance shown in [Appendix 1, Form B.2](#). This audit may be carried out by an internal or external auditor.
- b.7 The audit period shall be from April 1st to March 31st and the audit shall be completed by June 30th, using the Self Evaluation Check List of Compliance.
- b.8 The Test Sponsor must request ERC to provide, in confidence and to the auditor and Test Sponsor only, a list of all registered tests conducted by the applicant Test Sponsor during the audit period and for review during the audit process.
- b.9 Compliance with the Code will be determined by the absence of any Stage I (no compliance) and any Stage II (substantial non-compliance) entries on the completed Self Evaluation Check List of Compliance ([Form B.2](#)) which forms part of the audit process.

Additionally, the ATC Quality Management Working Group (QMWG) has the authority to resolve any compliance issues that may arise. This includes but is not limited to issues relating to test registration activities. Refer to Appendix 2 for more information on the QMVG Issue Resolution process.

- b.10 The completed Self Evaluation Check List of Compliance must have been delivered to ERC, by July 1st annually. Failure to provide a Self Evaluation Check List of Compliance to ERC by July 1st will be considered a Compliance Stage I category (no compliance with the Code). The compliance period begins April 1st of new year and terminates on March 31st of the following year.
- b.11 A Test Sponsor which has not registered any candidate lubricant tests during the compliance period will be considered to be in compliance by having filed a Letter of Intent at ATC and ERC and by the confirmation by ERC that no such tests have been registered by that Test Sponsor in that period.
- b.12 Where a Test Sponsor is found not to be in compliance, as a result of an audit, then a period of four months will be allowed for rectification. Before the end of this period the Test Sponsor shall repeat the audit and provide a new Self Evaluation Check List of Compliance to ATC. During the four-month period, the Test Sponsor shall be considered to be in compliance with respect to client programmes, subject to the outcome of the repeated audit.

Lack of compliance resulting solely from late submission of the Check List may be rectified by submission in this four-month period; a repeat of the audit is not required in this instance.

Section B continued

- b.13 When requested by a client company, a Test Sponsor must supply evidence of compliance.
Upon request, a copy of the signed Self Evaluation Check List of Compliance must be shown to potential clients of the Test Sponsor and be available for other audit purposes.

AUDIT GUIDE TO THE COMPLETION OF THE
SELF EVALUATION CHECK LIST OF COMPLIANCE

Before beginning to check the items on the check list shown in [Appendix 1, Form B.2](#):

- Verify the existence of the Letter of Intent;
 - Confirm that up to date controlled copies of the ATC Code of Practice and ATC Bulletins are available.
 - Obtain, from the Test Sponsor, a list of Candidate Data Packages issued for the audit period.
 - Obtain a list of all registered tests conducted by the Test Sponsor for the audit period.
1. Test Registration.
 - 1.1 Verify all test registration documentation.
 - 1.2 Confirm that the test registration date and time preceded the start of the test.
 - 1.3 Verify the Sponsor ID.
 - 1.4 Verify the use of the Cancellation Form, if one was used.
 - 1.5 Confirm reasons for cancelled tests were given.
 - 1.6 Verify the use of Correction of Error Form, if one was used.
 2. Test Validity.
 - 2.1 Confirm that the Test Validity Statement for each test report is complete.
 - 2.2 Verify the outcome of each registered test on the Test Validity Statement and/or ERC Summary as one of the following categories:

Up to September 1997:

- Cancelled; Discontinued/Aborted; Pending; Completed

From September 1997:

- Operationally valid and completed (and, from October 2000, on the ERC Summary, further shown as "in accordance" or "not in accordance" or, from October 2021, "non-interpretable special case").
- Operationally valid and stopped by sponsor
- Operationally valid and terminated
- Operationally invalid and completed
- Operationally invalid and aborted
- Cancelled; Pending

- 2.3 Confirm reasons for discontinued/aborted tests were given.

Section B continued

- 3. Use and Treatment of Data.
 - 3.1 Confirm agreement of engine test data reported in the ATC Candidate Data Package and the ERC Summaries.
 - 3.2 Confirm that all supporting tests in the Candidate Data Package fall into one of three categories, depending on completion date:

Up to September 1997	Completed
September 1997-December 1999	Operationally valid and completed
From October 2000	Operationally valid, completed (and in accordance)

- 4. Validity or Interpretation Questions.
 - 4.1 Confirm the inclusion in the ATC Candidate Data Package of any opinions, if sought, regarding the validity or interpretation of particular tests or test results.
- 5. Formulation Modifications.
 - 5.1 Confirm that formulation modifications are clearly identified for data supporting the final candidate formulation.
 - 5.2 Confirm that appropriate data as specified in Section G are given for each modification supporting the final candidate formulation.
 - 5.3 Confirm that a complete description is included of the initial candidate formulation(s) and all final candidate formulation(s) in all applicable SAE viscosity grades.
- 6. Programme Extensions.
 - 6.1 Confirm that Programme Extensions and any additional SAE viscosity grades have been linked to an ATC Programme.
 - 6.2 Where formulation modifications have been used, verify that, where relevant, items within 1.1 to 6.1 above are correctly documented.
 - 6.3 Confirm that Viscosity Grade Readacross and Base Oil Interchangeability comply with the ATIEL Code of Practice.
 - 6.4 Confirm that any VM Interchange complies with the ATC Code of Practice (Section h.14).

Section C**TEST LABORATORY REQUIREMENTS**

REGISTRATION OF TEST LABORATORIES

- c.1 Test Laboratories wishing to conduct lubricant engine testing under the Code of Practice shall apply to ERC for a Test Laboratory Identity Code (Laboratory ID). ERC will only issue new Laboratory ID Codes on receipt of a valid Test Laboratory Letter of Intent and will only accept test registrations from Test Laboratories with current Letters of Intent. The required format of the letter is shown in [Appendix 1, Form B.1: "Letter of Intent"](#).
- c.2 All Test Laboratories wishing to register and operate within this Code must be certified as being in compliance with the ISO 9000 system of quality practices.
- c.3 In order to be eligible for registration, the Test Laboratory must be an active participant in the appropriate CEC Working Group (for non-CEC tests, the Test Laboratory must be a participant in the relevant industry group) where such a group is responsible for the development, refinement or monitoring of an engine test procedure which is listed in this Code of Practice.
- c.4 It is implicit within the Letter of Intent ([Form B.1](#)) that Test Laboratories authorise ERC to include their industry reference oil test results in the release of unattributable test data (these data are available on the [ATC-ERC website](#) - see Bulletin 1/99).
Any Test Sponsor or Test Laboratory may request an ID and password to access the ATC-ERC website in order to review unattributable reference oil data.

ACCREDITATION AND COMPLIANCE OF TEST LABORATORY FACILITIES

- c.5 Each Test Laboratory must provide an annual Letter of Intent; the required format of the letter is shown in [Appendix 1, Form B.1: "Letter of Intent"](#). This letter must be delivered to ERC before April 1st of each year; each annual period shall be from 1st April to 31st March.
- c.6 Engine test facilities to be used within this Code must be accredited to ISO 17025 for the appropriate tests. Such accreditation must have been granted by an organisation which has demonstrated that it operates in accordance with the requirements of ISO/IEC Guide 58.

An organisation that has been evaluated by EAL (European Cooperation for Accreditation of Laboratories) and is a signatory to the EAL multilateral agreement (MLA), or has a bi-lateral agreement with the signatories to the EAL MLA, meets this requirement
- c.7 The Test Laboratory must be an active participant in the appropriate industry group where such a group is responsible for the development, refinement or monitoring of an engine test procedure which is listed in this Code of Practice.

Section C continued

- c.8 Engine test stands employed under this Code of Practice must have a unique identity. The stand identity code shall be shown in the test registration, the data package and other documentation relating to a test/result.
- c.9 Only engine test stands which have provided reference lubricant data which fall within the acceptance bands for each parameter may be used for candidate lubricant testing. The Test Laboratory must be able to produce such reference data which have been generated with the same test procedure and in the same test stand. The reference data must have been derived from a relevant round robin programme, and/or must have been generated within the referencing protocol stipulated by the test method and/or relevant industry group.
- Additionally, the ATC Quality Management Working Group (QMWG) has the authority to resolve any reference protocol issues that may arise. Refer to Appendix 2 for more information on the QMWG Issue Resolution process.
- c.10 Each Test Laboratory, in order to be in compliance with the Code, will conduct all candidate lubricant engine testing for Test Sponsors, and all reference lubricant engine testing, according to the requirements of the Code applying at the time of test registration.
- c.11 All Test Laboratories, in compliance with this Code of Practice, are deemed to be equal.

AUDIT PROCESS FOR TEST LABORATORIES

- c.12 The audit process for Test Laboratories will be as defined under the applicable industry standards determined by ISO 17025.
- c.13 In order to reconcile their records for audit purposes Test Laboratories shall request ERC to provide, in confidence and to the auditor and Test Laboratory only, a list of all tests registered by the Test Laboratory during the audit period.

CLASSIFICATION OF OPERATIONALLY VALID AND COMPLETED TEST DATA

The attention of Test Laboratories is drawn to Section D, and in particular paragraph d.6 on Page D.3 of 4.

Section D LISTED ENGINE TEST PROCEDURES

- d.1 Engine tests conducted under this Code must comply completely with the latest test procedures published by the CEC Secretariat or relevant project group.
- d.2 The following engine test procedures are ~~presently or have previously been~~ included within the Code of Practice, see Note (a) below. The ATC designation for each test shall be used in all documentation required by this Code.

Current Test Procedures	Included In		Test Description	ATC Designation	Date of Inclusion
	ACEA LD 2021 ACEA HD 2022	ACEA LD 2023			
CEC L-54-96	Yes	Yes	M111 Fuel Economy Improvement	M111FE	01Mar98
CEC L-78-99	Yes	Yes	VW TDI Direct Injection Diesel Performance	VWTDI2	22Nov99
CEC L-099-08	Yes	Yes	OM646LA Passenger Car Diesel Engine Wear Test	646LA	01Nov07
CEC L-101-09	Yes	No	OM501LA Bore Polishing & Piston Cleanliness Test	501LA	16Nov07
CEC L-104-16	Yes	No	OM646LA Bio Bio-Diesel Cleanliness Test	OM646BIO	01Nov16
CEC L-106-14	Yes	Yes	DV6C Oil Dispersion at Medium Temperature for Passenger Car DI Diesel Engines	DV6C	10Nov14
CEC L-107-19	Yes	Yes	M271 EVO Sludge Test	M271EVO	01Apr20
CEC L-111-16	Yes	Yes	EP6CDT Gasoline Cleanliness Test	EP6CDT	01Nov16
CEC L-114-19	Yes	Yes	Toyota 1KD-FTV Turbocharger Deposit Test	TCCD	01Apr20
CEC L-117-20	Yes	Yes	VW TDI Direct Injection Diesel piston cleanliness	VWTDI3	01Apr21
JASO FE M366 (Toyota 2ZR-FXE)	Yes	Yes	JASO FE M366 Fuel Economy	JASOM366	01Apr21
CEC L-118-21	Yes	No	OM471 Piston Cleanliness	OM471	01Apr22

Note

- (a) For tests covered by this Code of Practice, only tests registered with ERC can be used to support ACEA performance claims. Test laboratories must comply with relevant code requirements. Refer to Appendix 3 for a checklist of lab requirements.

Section D continued

- d.3 ATC will establish guidelines for round robin, reference, and candidate registrations and testing whenever a new test method is introduced into the Code of Practice.
- d.4 ATC support and prefer CEC approved tests and parameters. However, it is recognized that under certain circumstances ACEA include non-CEC tests and/or non-CEC approved parameters in ACEA Sequences. The ATC Code of Practice will apply to both CEC approved and non-CEC approved test methods listed in section d.2 table and parameters. Non-CEC approved parameters will be identified in ATC Product Approval Code of Practice Summary Details (also known as ERC summaries) by the following symbol, ‡, and the note:

‡ This parameter is not approved by CEC.

- d.5 ATC reserve the right not to include a new test in the Code; in the event of such a decision, ATC will advise its industry partners of the reason(s) for the exclusion.
- d.6 CLASSIFICATION OF OPERATIONALLY VALID AND COMPLETED TEST DATA
Table 1 shows the criteria that the laboratories should use to determine how to complete the declarations on the Test Validity Statement. Note: ERC will reconcile information provided by the laboratory

Where a laboratory runs tests that do not fully comply with the Code due to absence of acceptable reference data (for candidate tests), the test data will be classified as not in accordance with the Code.

Acceptable reference data are always required before a subsequent test can be considered to be in accordance with the Code EXCEPT for the tests that are being run to generate these acceptable reference data. It is not necessary to answer the 'Acceptable Reference Data' question for these tests (see p.F.2, third declaration).

Acceptable reference tests must be operationally valid, run according to ATC Code of Practice, and yield results within the acceptance bands for all parameters of that test.

For 'X' status candidate tests, these data may not be used to support Candidate Data Packages until they are subsequently re-classified by ATC as eligible for inclusion in the ERC Summary.

Section D continued

Table 1

Test Registered at ERC	Test Stand		Test Status			Data In Accordance with Code	Eligible for Inclusion in ERC Summary	Note
	Acceptable Reference Data	ISO 17025	* Full Approval Status	'T' or 'A' Status	'X' Status			
No	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No	No	No	1
Yes	No	Yes	Yes	Yes	No	No	No	2
Yes	No	No	Yes	Yes	No	No	No	2
Yes	No	Yes	No	No	Yes	No	No	2
Yes	No	No	No	No	Yes	No	No	2
Yes	Yes	Yes	No	No	Yes	Yes	No	3
Yes	Yes	No	No	No	Yes	Yes	No	3, 4
Yes	Yes	No	Yes	Yes	No	Yes	Yes	4
Yes	Yes	No	Yes	No	No	No	No	
Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	

Notes 1-4 on page D.4 * per current development methodology in d.3

Note 1: Unregistered tests cannot subsequently be considered to have been run in accordance with the Code. (A completed registration is documented by ERC sending part C of the registration form to the sponsor and laboratory).

Note 2: Acceptable reference data, run in accordance with the relevant test method must be obtained before subsequent candidates can be considered to have been run in accordance with the Code.

Note 3: Once 'T' Status has been granted, any tests run to equivalent 'X'-Status version(s) of the Test Method can be considered as eligible for inclusion in the ERC Summary (see para d.4). Equivalence will be defined by the relevant industry group.

Note 4: Once a laboratory achieves ISO17025 accreditation, tests with a start date up to 18 months prior to the accreditation date can be considered as eligible for inclusion in the ERC Summary, provided they were acceptable references or subsequent candidates.

Where an accreditation body has a policy of not allowing accreditation of 'X' Status tests, classification of the data as run in accordance with the Code is allowed by ATC. In the case of a test that is subsequently granted 'T' Status, this allowance will not be extended beyond 12 months after the start date of the first test at the laboratory run to the 'T' Status method.

Section E **REGISTRATION OF TESTS**

e.1 Preamble

The ATC Code of Practice requires that all candidate lubricant engine tests requested by Test Sponsors, and all reference lubricant tests, shall be operated by a registered Test Laboratory and each test shall be registered with the European Registration Centre (ERC). The purpose of this registration is to provide unambiguous documentation and a simple tracking system for all registered engine tests. The system depends upon the obligatory use of a three-part Registration Form. Different forms are used for candidate and reference tests.

Lubricant engine testing carried out for research purposes need not be registered with ERC.

e.2 Documentation

Each Registration Form comprises three parts for completion by the Test Sponsor, the Test Laboratory and ERC respectively. Only the format in Appendix 1, [Form E.1](#) and [Form E.2](#) shall be used.

e.3 Admissible tests

Only those tests listed in Section D of this Code may be registered.

e.4 Registration Fee

A registration fee becomes chargeable upon receipt by ERC of Part A of the Registration Form.

e.5 Completion of Test Registration Forms

The forms shown in Appendix 1, [Form E.1](#) (for candidate lubricants) and [Form E.2](#) (for reference lubricants) require specific information to be provided by both the Test Sponsor and the Test Laboratory when registering tests. Entries shall follow various coding conventions, which are shown on pages [E.4-5 for candidate tests](#) and [E.6-7 for reference tests](#) together with explanations of the information required.

Part A:

To be completed by the Test Sponsor at the time of commissioning test work with a Test Laboratory. Copies shall be provided to both the ERC and to the selected Test Laboratory.

The Test Sponsor may choose any ERC registered Test Laboratory.

Part B:

To be completed by the Test Laboratory on the form received from the Test Sponsor with Part A already completed. Where a Test Laboratory uses more than one stand for the required test procedure, the next available vacant stand must be chosen for the planned candidate lubricant test.

Part B must show the planned date of commencement of testing.

When Parts A and B have been fully completed, copies of the Registration Form shall be provided to ERC and to the Test Sponsor by the Test Laboratory.

Test work may not commence until after the time of receipt of the form by ERC. Tests begun before this time shall not be used in support of candidate formulations.

Section E continued

Part C:

Shall be completed by ERC upon receipt of the Registration Form with Parts A and B completed. ERC shall note the time of receipt, to the nearest minute, and the applicable time zone.

Copies of the form showing completed entries in Parts A, B and C shall be returned to the Test Sponsor and to the Test Laboratory for information purposes. The copy of the completed Registration Form, which is held by ERC, shall be the official copy for the purposes of the Code of Practice, with any others serving only for information. The time of receipt shown by ERC in Part C will show the precise time of registration.

e.6 Cancellation of a test

If a test is cancelled after registration, but prior to starting the test, then a Cancellation Form shall be forwarded to ERC and to the Test Laboratory by the Test Sponsor. A copy of the Cancellation Form is shown in Appendix 1, [Form E.3](#).

e.7 Engine Test Start

A test is deemed to have started when the engine has been charged with the test oil (candidate or reference) - the test has not been started if the engine is being 'run-in' on 'run-in' oil.

e.8 Correction of Errors

The Correction of Error Form is generally used to correct typographical errors or transposition of numbers. It must be completed by the Test Sponsor or the Test Laboratory. If the error is in Part A of the Registration Form, the Test Sponsor must complete a Correction of Error Form and submit it with a new Registration Form to ERC and to the Test Laboratory.

If the error is in Part B of the Registration Form, the Test Laboratory must submit a Correction of Error Form and a corrected Registration Form to both the Test Sponsor and ERC.

A copy of the Correction of Error Form is shown in Appendix 1, [Form E.4](#).

e.9 Uncontrolled Circumstances

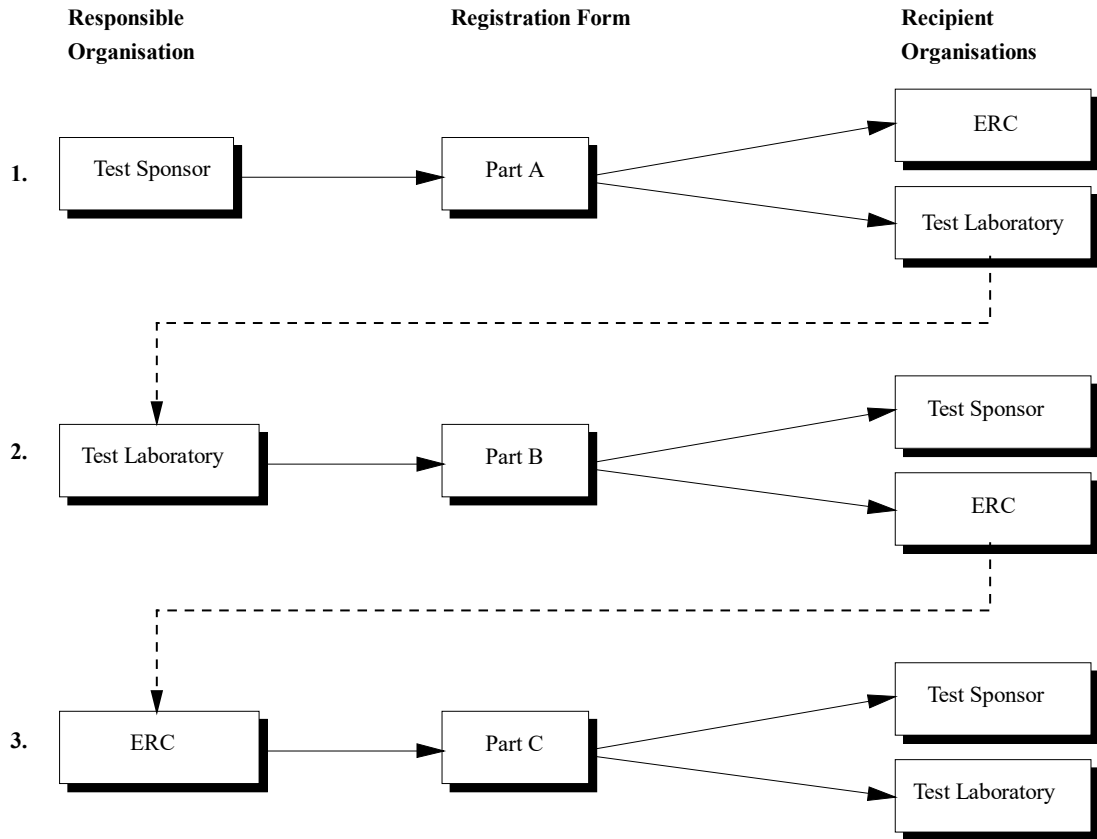
In the case that an attempt on the part of either the sponsor or test laboratory to register a test with the ERC (via either fax or website) is unsuccessful due to uncontrolled circumstances, then the sponsor or lab may register the test by providing all relevant information (either Part A or Part B) via email to:

registration@atc-erc.org

Uncontrolled circumstances may include situations such as a power, network, or phone outages. Once the uncontrolled circumstance has been resolved, the record and email will be treated as a valid registration provided all pertinent information has been properly supplied. The ERC will then provide documentation that registration has been received and that the time and date of the email has been honored for registration purposes.

Section E continued

SCHEDULE OF REGISTRATION ACTIVITIES



Section E: Coding Used For Registration Form E.1 for Candidate Tests

The following sequence of coding conventions shall be used:

	Sponsor ID	Sponsor Code	Modification	Blend Number	Test (ATC Designation)	Count	Laboratory ID	Stand
Example	AB	LUBE123456	A	02	OM364LA	03	YZ	STAND

Sponsor ID

A unique two-letter combination agreed with ERC for use in all registration applications. Where a ACC Sponsor ID already exists, then the same Sponsor ID shall be used. Where the Test Laboratory acts as the Test Sponsor, the Laboratory ID shall be used as Sponsor ID, wherever possible. The Test Sponsor inserts this Sponsor ID.

Sponsor Code

A candidate lubricant coding chosen by the Test Sponsor to a maximum length of ten characters and used to facilitate the tracking of formulations. The Test Sponsor inserts this Sponsor Code.

Modification

A single, upper case letter beginning with A for the initial candidate formulation and progressing through the alphabet as successive formulation modifications are made during the course of a development programme. See also Section H. The Test Sponsor inserts this letter.

Blend Number

A two-digit number where 01 is the first and 02 is the second candidate lubricant batch, etc. The Test Sponsor inserts this number.

Test

An ATC Designation is used to define the type of engine test run. The Test Sponsor inserts this Designation.

The ATC Designation for each registered test, along with a test description, is shown in the table in Section d.2.

Count

A two-digit number code used to designate the number of times Part A of the Registration Form for the candidate, as identified by "Sponsor ID", "Sponsor Code" and "Modification", has been submitted to a Test Laboratory within a designated "Test Type". 01 = the first test submitted to any Test Laboratory for a given Sponsor ID and Sponsor Code, 02 = the second test submitted to any Test Laboratory for the same Sponsor ID and Sponsor Code, etc. The count number shall be reset with each formulation modification.

The Test Sponsor inserts this Count.

Laboratory ID*	A unique two-letter combination, agreed with ERC, and used to identify the Test Laboratory at which the test is to be conducted. Where a ACC Laboratory ID already exists, then the same coding shall be used, wherever possible. The Test Sponsor inserts this Laboratory ID.
Stand	An alpha/numeric code of no more than five characters which uniquely defines the test stand. The Test Laboratory inserts this Stand code.

* Where a Test Laboratory initiates tests, then the Laboratory ID shall be used as a Sponsor ID, where possible.

The following sequence of coding conventions shall be used

	Sponsor ID	Reference Oil Code	Batch Number	Test (ATC Designation)	Reference Run Count	Laboratory ID	Stand
Example:	YZ	RL148	12	OM602A	03	YZ	Stand

Sponsor ID

A unique two-letter combination agreed with ERC for use in all registration applications. Where a ACC Sponsor ID already exists, then the same Sponsor ID shall be used. Where the Test Laboratory acts as the Test Sponsor, the Laboratory ID shall be used as Sponsor ID, wherever possible. The Test Sponsor inserts this Sponsor ID.

Reference Oil Code

The Reference Oil Code is assigned by the relevant industry group. The Test Sponsor inserts this code.

Batch Number

The Batch Number of the reference oil of up to two characters. The Test Sponsor inserts this number.

Test

An ATC Designation is used to define the type of engine test run. The Test Sponsor inserts this Designation.

The ATC Designation for each registered test, along with a test description, is shown in the table in Section d.2.

Reference Run Count

A two-digit number indicating the number of times a given reference oil batch has been tested in the designated test and stand, where 01 = first test and 02 = second test, etc. The Test Laboratory inserts this count.

Within Test Type Reference Run Count Example

Test Event	Test Stand	Reference Oil	Reference Oil Batch	Reference Run Count
1	1	RL123	01	01
2	1	RL234	01	01
3	1	RL123	01	02
4	1	RL234	01	02
5	1	RL123	02	01
6	1	RL234	02	01

Laboratory ID

A unique two-letter combination, agreed with ERC, and used to identify the Test Laboratory at which the test is to be conducted. Where a ACC Laboratory ID already exists then the same coding shall be used, wherever possible. The Test Sponsor inserts this Laboratory ID.

Stand

An alpha/numeric code of no more than five characters which uniquely defines the test stand. The Test Sponsor inserts this Stand code.

Note: It is assumed that for reference tests the Test Laboratory will, in the majority of cases, be the Test Sponsor. Where this is not the case the Test Sponsor should liaise with the Test Laboratory for data needed to complete the formulation/stand code.

Section F ENGINE TEST REPORTS

- f.1 Upon completion of an engine test, the Test Laboratory shall complete the electronic data file in ATC-approved format according to the relevant data dictionary. Test Laboratories must submit the test results to ERC by Electronic Data Transfer (EDT).
- f.2 Procedures for transmitting data electronically are available from the ERC.
- f.3 A Test Validity Statement relating to the outcome of the test (see Fate of Tests, Glossary Section I) is required for any test that was started under the ATC Code of Practice. This statement is included in the data dictionaries. If a test was cancelled prior to start, the ERC must receive a completed Cancellation Form. No Test Validity Statements are submitted for tests that do not start.
- f.4 The ERC does not need the test report from the Test Laboratory, but the Test Sponsor does. The reporting profiles in the Data Dictionaries contain all test result parameters which are to be stored in the ERC data base, selected parameters of which are to be reported in the ERC Summary for the Test Sponsor's Candidate Data Package.
- f.5 Data dictionaries contain the reporting profiles for each engine test within the Code, for candidate and reference oil tests. They have been developed through industry consensus and are maintained by the European Registration Centre to facilitate electronic transfer of engine test data via the data upload website and can be found on the ATC/ERC Website at [Data dictionaries for electronic transfer of test results](#).
- f.6 In the past, reporting of both 'A' and 'B' sets of variables was mandatory for candidate, reference, and round robin tests. These variables are now included in the data dictionary.
- f.7 In the past, where performance targets were defined relative to reference oil performance, the relevant reference oil result, together with the reference formulation / stand code had to be included in the reporting profile. These results are now included in the data dictionary.
- f.8 Test Laboratories may find it necessary to change reported test results, either as a result of their own internal quality checks or as a result of discrepancies found by ERC during data validation.

The reason for the changes must be indicated in the Validity Comment section of the Test Validity Statement. This refers to the root cause of the error, not to the way the error was detected.

To ensure that the changes are recorded, the Validation Date and Validation Contact of the Test Validity Statement must be updated accordingly.

- f.9 End-of-test data must be sent to the ERC and to the Test Sponsor no later than 60 days after the engine test start date.

If the requisite information is not received by ERC within the timing specified, ATC will disallow any new registrations by the Test Laboratory. Results supplied to ERC later than 60 days after start may be ineligible as candidate support; for reference tests, subsequent candidate registrations may be rejected.

Section G**ATC CANDIDATE DATA PACKAGE**

All Test Sponsors have the responsibility to maintain a complete record of each ATC Data Set or Programme conducted under the ATC Code of Practice. The ATC Candidate Data Package is a part of the total documentation, which includes information of critical interest to the customer. The Candidate Data Package may contain any additional information that the Test Sponsor deems appropriate.

The information given below must be included.

- g.1 A summary, generated by ERC, of all engine tests which were registered as part of an ATC Data Set or ATC Programme: this shall include (a) all tests registered under each Sponsor ID / Sponsor Code combination as defined in Section E (pages E.4-E.7), (b) test results with any CEC non-approved parameters explicitly identified, (c) for each test, the entire Formulation/Stand Code as illustrated in Section E, (d) the fate of each registered test. Refer to Section I, pages I.3 and I.4, 'Fate of Tests'.

For tests completed after 1st October 2000, only tests in the category - Valid, Completed (and in accordance) - may be used to support the final candidate formulations.

- g.2 Documentation defining the composition of the initial formulation (that used at the start of the ATC Data Set or Programme), and the final formulations: this shall provide the complete formulation recipe in mass percent, such that the total is 100%. Details will include: Performance Additive Package; Viscosity Modifier (VM), if any; pour point depressant, if any; and other additives in the formulation as well as all base stocks. Each additive and base stock designation may be identified by trade name, stock or code number or any other designation, which clearly identifies it to the customer. If a VM is used, an indication as to whether the product is dispersant or non-dispersant type shall be included. If a Performance Additive Package is not used, individual components must be listed.

Analysis of the specific base stock(s) used in the programme shall be provided to allow classification in accordance with the base stock categories as defined by the ATIEL Code of Practice, Appendix A.

- g.3 A summary of all formulation modifications used and guidelines invoked in developing the final candidate formulation.
- g.4 Physical and chemical characterisation of all candidate formulations which support a final candidate formulation, to include:
- Kinematic viscosity at 100°C
 - CCS
 - Finished oil metals where present
 - Finished oil S, N, Si, P
 - TBN
 - Sulphated Ash
 - Treatment levels of Performance Additive Package, base stocks, viscosity modifiers and any other constituents.
 - CI: only required on the final formulation and where an ACEA A/B or C claim is being made.

Section G continued

Within an ATC Data Set / Programme and any Programme Extension the same test methods must be used for measurement of candidate physical and chemical properties

g.5 Documents and Reports for Oil Codes/Tests in the ATC Programme

Test Form needed → Fate of Test ↓	For Each Registered Test				Full Test Report
	Test Registration Form	Cancellation Form	Test Validity Statement	ERC Summary	
Cancelled	√	√	N/A	√	N/A
Operationally valid, completed and in accordance	√	N/A	√	√ (ii)	√ (iii)
Operationally valid, completed and not in accordance	√	N/A	√	√ (ii)	√ (iii)
Operationally valid and stopped by sponsor (i)	√	N/A	√	√	N/A
Operationally valid and terminated (i)	√	N/A	√	√	N/A
Operationally invalid and completed	√	N/A	√	√	N/A
Operationally invalid and aborted (i)	√	N/A	√	√	N/A

√ = Required N/A = Not applicable

- (i) Aborted = failed to complete for operational reasons and declared invalid by Test Laboratory.
Stopped = at test sponsor request.
Terminated = failed to complete for other reasons
- (ii) This will include a full summary of the 'A' variable test results.
- (iii) Only for engine tests supporting final candidate formulation.

Completed engine tests that do not support a final candidate formulation must be documented through inclusion of completed Test Validity Statements; it is expected that a full report will exist for all such tests that were operationally valid and completed.

In the event that a test is operationally invalid, full ratings may be an unnecessary expense. In such a case, a partial report is acceptable provided that the report contains sufficient information that a third party may clearly see the cause of the operational invalidity. The Test Validity Statement may serve as the partial report.

g.6 Data to support additional SAE viscosity grades included in the Programme as defined in the ATIEL Viscosity Grade Readacross Guidelines.* Applicable information in g.1 through g.5 above, and a statement from the Test Sponsor which relates each SAE viscosity grade within the ATC Programme, must also be provided. This also applies to Programme Extensions.

g.7 Data to support other base stocks as defined in the ATIEL Base Oil Interchange Guidelines.* Applicable information in g.1 through g.6 above, and a statement from the Test Sponsor which links the Programme Extension to the ATC Programme, must be provided.

(*) See ATIEL Code of Practice

Section H FORMULATION MODIFICATIONS

This section deals with formulation modifications, which may be required during the generation of an ATC Data Set or Programme, and to extend the use of an ATC Programme. The intention is to allow the use of Fundamental Formulation Knowledge within the following framework.

It is the intention that the initial candidate formulation will meet all relevant ACEA engine test requirements without modification. However, formulation modifications for engine tests shall be permitted with the expectation that the modified formulation will also meet all engine test requirements. Modifications covered in guidelines h.1 to h.5, should be of sufficient magnitude that they would be expected to result in discernible improvements in performance

Formulation modifications made during the conduct of tests covered by the ACC Code of Practice (meaning ASTM tests found in the ACEA sequences) shall be governed by the ACC Code.

Formulation modifications made during the conduct of tests covered by the ATC Code (meaning tests found in the ACEA sequences) are based on the following set of guidelines.

Base stock changes made during the course of an ATC Programme are governed by guideline h.7.1 for new base stock addition and by h.8.d for changes to existing base stock ratio. Additionally, a base stock matrix approach for an ATC Data Set generation is allowed by guideline h.7.2.

All formulation modifications must be declared to the customer.

MODIFICATIONS WITHIN AN ATC DATA SET OR PROGRAMME**PERFORMANCE ADDITIVE PACKAGE:**

- h.1 No decrease in treatment level of either the entire Performance Additive Package or its individual components is allowed, except within the context of permissible rebalances (h.4, h.5).
- h.2 Increase in the total treatment level of the Performance Additive Package and/or its individual components is allowed.
- h.3 One new component addition (separate from permissible rebalances, (h.4, h.5)) is allowed, subject to its final level being no more than 10% by mass of the final Performance Additive Package.
- h.4 Rebalance among zinc dithiophosphates is allowed whilst maintaining constant formulation phosphorus level. This may include introduction of a new zinc dithiophosphate: only one new zinc dithiophosphate introduction is allowed.
- h.5 Rebalance among metallic detergents is allowed whilst not decreasing formulation soap level. This may include introduction of a new metallic detergent; only one new metallic detergent introduction is allowed.
- h.6 Any final candidate Performance Additive Package offered to the customer must incorporate all of the formulation modifications used to substantiate the performance claims.

Section H continued

BASESTOCKS:

h.7.1 New Base Stock Addition

Substitution of a base stock by another base stock is allowed as follows:

Where the base stocks are within the same slate, follow the applicable issue of the ATIEL Code of Practice.

Where the base stocks are from differing base stock slates, follow the Base Oil Interchange Guidelines in the applicable issue of the ATIEL Code of Practice, including the restrictions on cumulative substitutions, except for the following case:

For substitution of Group I or Group II by Group III or Group IV, unlimited substitution above 30% by mass is allowed with supporting engine test data from the specific engine test (or other engine test data as per h.16)

Where a new base stock of a specific Group has been introduced or increased in content in order to pass an engine test (or as a result of failing engine test data), the reverse substitution to another Group may not be made subsequently, even if it is permitted under the guidelines, without the relevant engine test having passed in a supporting formulation without the introduced base stock or increased base stock (see examples in the applicable issue of the ATIEL Code of Practice).

h.7.2 Base Stock Matrix Approach

As an alternative to the use of a single base stock slate for the generation of data to support an ATC Programme, a matrix approach may be used. This uses the ATIEL Code base oil interchange principles in that a test (or tests), which are not deemed sensitive to base stock changes, may be run in any applicable base stock.

For example, for ACEA A3/B4-~~1623~~, a matrix might comprise:

Test Type	Group I Base Stock Slate		
	A	B	C
EP6CDT	X		
Seq VG	X		
Seq VH	X		
M271Evo	X		
DV6C	R/A	X	
OM646LA	R/A		X
VW TDI	X		
Seq IVB	X		
OM646LA-Bio	X		

Where X = Test Completed
R/A = Read-across Allowed

In this example, base stock A is fully qualified to A3/B4-~~1623~~ using data from base stocks A, B and C. To qualify in base stocks B and C, additional testing will be required.

Section H continued

OTHER CONSTITUENTS:

h.8 In addition to those modifications to the candidate formulation as outlined above, certain other changes may be made to allow adjustment of the physical/chemical properties of the candidate without adversely affecting engine performance. Only the following changes are allowed.

- a) Viscosity modifier treatment level **within a given candidate SAE viscosity grade** may be changed in accordance with the following principles:

Change in viscosity modifier level up to 15% relative is allowed without further support (change of viscosity modifier type is not permitted within an ATC data set or programme).

Change in viscosity modifier level above 15% relative is allowed if such change is in alignment with the principles of the ATIEL Viscosity Grade Readacross Guidelines. Specifically as follows:

(In the illustrative examples below, the viscosity grade of the test oil and final candidate oil is 5W-30)

On a test by test basis, from the ATIEL Viscosity Grade Readacross Guidelines,

Does the test read from the SAE grade tested to the next higher summer grade (in this example 5W-30 to 5W-40)? If readacross is allowed, then the viscosity modifier content may be increased by >15%, still within same viscosity grade (5W-30 in this example).

Alternatively;

Does the test read from the SAE grade tested to next lower summer grade (in this example 5W-30 to 5W-20)? If readacross is allowed, then the viscosity modifier content may be decreased by >15%, still within same viscosity grade (5W-30 in this example).

Where the ATIEL Viscosity Grade Readacross Guidelines are used, as above, to justify changes in viscosity modifier level of >15% relative and these guidelines indicate a need for technical support data as defined in Section h.16 of the ATC Code of Practice, then such technical support must be included in the ATC candidate data package

Where the ATIEL guidelines do not support read across to the relevant adjacent summer grade then the change in viscosity modifier content is restricted to a maximum of 15% relative

Additional example where a non-tabulated ATIEL Guidelines applies for the test, e.g. EP6CDT:

The VGRA Guideline for the EP6CDT engine test states that VGRA (in this example 5W-30 to 5W-40) can be permitted if the viscosity modifier treatment increase is greater than 15% mass fraction relative, if technical support data (as defined in Section h.16 of the ATC Code of Practice) is available to justify read-across. Therefore, an increase of viscosity modifier level by > 15 % within grade (5W-30 in this example) is permitted with technical support data.

Section H continued

Alternatively;

The EP6CDT VGRA Guideline also states that VGRA (in this example 5W-30 to 5W-20) is permitted if the viscosity modifier treat rate is decreased. Therefore, a decrease in viscosity modifier level > 15 % within grade is permitted.

- b) Pour point depressant type and/or level.
- c) Foam inhibitor type and/or level.
- d) Base oil ratio of existing base oil mix within a candidate SAE viscosity grade, as follows:

For a basestock mix from a single slate, basestock ratio rebalance is unrestricted.

For a basestock mix of more than one basestock slate, any rebalance must follow the principles given in h.7 above (which also covers any new basestock addition).

See examples of Base Oil Interchange in the applicable issue of the ATIEL Code of Practice

- h.9 Group V base stock addition is allowed up to an absolute level of 10% by mass of the final blended oil. The introduction is allowed with specific engine test data (or other engine test data as in h.16). Group V base stock is defined in the latest ATIEL Code of Practice. This addition is counted separately from the provisions of h.3
- h.10 The final candidate formulation supported in the ATC Data Set is that which incorporates all of the changes outlined in guidelines h.1-h.9 from formulation variants for which test data are retained.

PROGRAMME EXTENSIONS:

- h.11 Programme Extension is the process by which modification is made to the final formulation of an ATC Programme to meet additional requirements.

Examples of such requirements can include, but are not restricted to:

- * Base Oil Interchange (BOI)
- * (Additional) SAE Viscosity Grades
- * Viscosity Modifier Interchange
- * Additional engine test performance
- * TBN boost

- h.12 Modifications outlined in guidelines h.1-h.5, h.8 and h.9 are also permitted within Programme Extensions. In addition, components which were not present in the original tested formulation may be used as boosters to the system. The amount of the resultant addition is not restricted, but demonstration that the performance has not been harmed must be available according to h.16.

Section H continued

Where Group V base stock is added according to guideline h.9, the cumulative addition across the original and extended set of supporting formulations must not exceed 10% by mass of the final blended oil

h.13 SAE Viscosity Grade Read Across and Base Oil Interchange are particular examples of Programme Extension, guidelines for which are as contained in the ATIEL Code of Practice.

h.14 Viscosity Modifier Interchange (VMI)

h.14.1 General

VMI is a specific example of Programme Extension and is subject to the minimum requirements given in the following sections.

Any VMI shall be supported by data in specific engine tests and rheology tests before implementation is permissible.

An engine lubricant formulation (VM plus performance additive package plus base stock) shall be fully supported by an ATC Data Set before VMI testing can take place.

h.14.2 VMI Testing

VMI testing, where carried out, shall commence on the same performance additive package at the same concentration, and shall be carried out in the same base stocks that were used for the original programme. Minor re-balancing of the base stocks is permissible to achieve viscometric targets. Selection of viscosity grade(s) for VMI test work should be made based upon the coverage required for the interchange viscosity modifier and with regard to the relevant Viscosity Grade Read-across (VGRA) guidelines. For the Mack T8E test the relevant VGRA guidelines are those defined in the ATIEL Code of Practice

h.14.3 VMI Suppliers

VMI may be permissible between products from the same or from different suppliers.

For products from the same supplier, this supplier is responsible for demonstrating those products that are equivalent and interchangeable without testing, and those for which testing is required before interchange is permissible. Acceptable support demonstrating equivalence includes that given in h.16. In any case, if the VM polymer concentration increase is greater than 15 mass %, VMI testing shall be carried out.

For products from different suppliers, VMI testing is always required.

h.14.4 VMI Programme

A complete VMI programme can be used to support other VMs from the same supplier, which are declared by the supplier to be equivalent and interchangeable. No additional interchange testing is required.

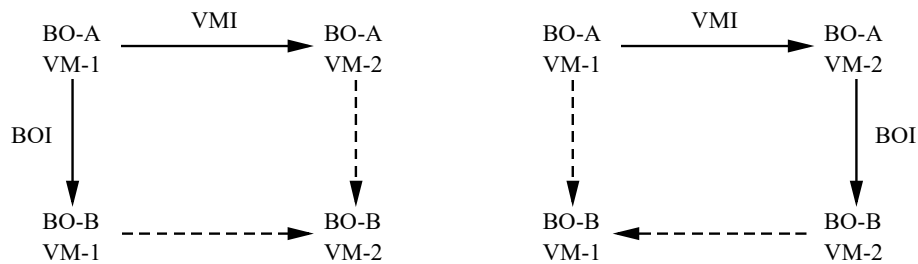
Section H continued

A VMI programme is performance additive package specific, but can extend to performance additive package systems of related technology within the formulation modification guidelines of the ATC Code of Practice.

h.14.5 BOI Test Work

BOI test work must be separate from VMI testing.

One BOI programme run on either the original VM (VM-1)/performance additive package or the interchange VM (VM-2)/performance additive package system will cover both systems (i.e. all corners of the diagrams below). e.g.



Similarly, one VMI programme, run on either the original Base Oil/Performance Additive Package or the interchange Base Oil/Performance Additive Package System, will cover both systems.

h.14.6 Engine Tests

Engine tests required by the proposed ACEA performance claim(s) shall be run for VMI. Specifically, the tests shown in Table H.1 shall be run before implementation of the interchange is permissible.

Different test requirements have been identified for interchanging non-dispersant viscosity modifiers (NDVM) and dispersant viscosity modifiers (DVM). Only the tests included in the ACEA Oil Sequence/SAE grade for which read across is required to be run. Refer to Section d.2 for CEC test methods to be used.

Table H.1 Engine tests required before implementing VMI

Performance Category	NDVM to NDVM^(1,2,3,5,8)	DVM to DVM or NDVM to DVM^(1,2,3,5,8)
Gasoline/light-duty diesel engines and Gasoline/light-duty diesel engines with aftertreatment devices	Toyota 1KD-FTV JASO FE M366 EP6CDT, M271EVO, ⁽⁴⁾ VW TDI/ VW TDI2 or VW TDI3, M111FE, OM646LA Bio	Toyota 1KD-FTV JASO FE M366 EP6CDT, M271EVO ⁽⁴⁾ OM646LA, DV6C VW TDI/ VW TDI2 or VW TDI3, M111FE, OM646LA Bio
Heavy-duty diesel engines	OM471, OM501LA, Mack T-8E or Mack T-11, ^(6,7) Cummins ISM, OM646LA Bio, CAT 1N, CAT C13 ⁽⁹⁾	OM646LA, OM471, OM501LA, Mack T-8E or Mack T-11, ⁽⁷⁾ Cummins ISM, OM646LA Bio, CAT 1N, CAT C13 ⁽⁹⁾

- (1) Full testing is required for VMI not listed above.
- (2) Physical mixes of NDVM and DVM are treated as DVM.
- (3) For Sequence VG or Sequence VH, Sequence IVB and Sequence X refer to ACC Code of Practice. Sequence IVB and Sequence X are only required for DVM to DVM or NDVM to DVM interchange.
- (4) Daimler M271 sludge is allowed in-line with ACEA 2021 guidelines.
- (5) Where alternative tests are listed, e.g., "T-8E or T-11", the alternative test cannot be run to document read-across if a failing result has already been obtained on the other test.
- (6) Mack T-8E requirement is waived if the replacement NDVM is within the same chemical type as the tested NDVM (chemical type means chemical family such as, but not limited to, styrene ester, polymethacrylate, styrene butadiene, styrene isoprene, polyisoprene, olefin copolymer and polyisobutylene).
- (7) Mack T-11 results obtained as part of an API CK-4, CI-4, CI-4+ or API CJ-4 or API FA-4 approval program can be used in place of Mack T-8E.
- (8) Cummins ISM not required if the new lubricant formulation has the same or a greater HTHS value compared with the original tested formulation.
- (9) For CAT 1N or CAT C13, refer to ACC Code of Practice

Section H continued

h.15 All formulation modifications used and the guidelines invoked to reach the final candidate formulation supported by the ATC Data Set must be declared to the customer in the Candidate Data Package.

h.16 A demonstration that the oil performance has not been harmed on addition of a booster must be available. Support must be detailed for each performance criteria (e.g. valve train wear, cylinder wear etc.) relevant to the category claimed.

Acceptable support includes:

- CEC, OEM, JASO, or ASTM engine tests
- Proprietary engine tests
- Statistical modelling of existing data from relevant ACEA Sequence performance tests
- Bench/laboratory tests relevant to performance engine tests
- Vehicle field tests

h.17 The following information must be presented on all formulation variants which support the final candidate lubricant formulation:

- * Kinematic viscosity at 100°C
- * CCS
- * Finished oil metals where present
- * Finished oil S, N, Si, P
- * TBN
- * Sulphated Ash
- * Treatment levels of Performance Additive Package, base stocks, viscosity modifiers and any other constituents.

Within an ATC Data Set/Programme and any Programme Extension the same test methods must be used for measurement of candidate physical and chemical properties.

Aborted Test - See **Fate of Tests**.

ATC Candidate Data Package

The full record of an ATC Data Set or Programme, with contents as described in Section G.

A Candidate Data Package must be prepared by the Test Sponsor to support any performance claim or test requirements involving tests covered by the ATC Code, and must be made available to a customer on request.

ATC Data Set

A collection of test data which may be used to demonstrate engine test performance to meet customer requirements and which has been based upon testing covered by the ATC Code of Practice.

Data must include information on any formulation modifications utilised as allowed under the ATC and/or ACC Codes of Practice.

The results may derive from a single engine test, selected tests or a full set of tests using engine tests covered by the ATC Code.

ATC Final (Candidate) Formulation

The formulation which meets the requirements and/or performance claims in an ATC Data Set or Programme involving testing covered by the ATC Code.

ATC Initial/Intermediate Formulation

The formulation(s) used at the start and in course of development of an ATC Data Set or Programme involving testing covered by the ATC Code. Section H covers permissible formulation modifications.

ATC Programme

An ATC Data Set which fully documents the performance in engine tests covered by the ATC Code of a final formulation against one or more performance categories for engine lubricants.

Data provided must include information on any permitted SAE viscosity grade read across which has been invoked.

Candidate Data Package - See **ATC Candidate Data Package**.

Candidate (Lubricant) Formulation - See **ATC Initial/Intermediate/Final Formulation as above**.

Customer

An organization or individual for whom an ATC Data Set or ATC Programme is conducted.

Section I continued

Component

A material which imparts a property to a candidate formulation, has a unique identifier and meets a particular manufacturer's specification. A Performance Additive Package is composed of specific components.

Data Dictionary - A file that contains the bookkeeping information necessary to manage data and contains the names, field types, length, and other characteristics of the fields in the database tables.

Data Set - See **ATC Data Set**.

Discontinued/Aborted Test

Any registered engine test which is started but does not complete the required test hours; reasons for the failure to finish the test are recorded in the Test Validity Statement.

For more detailed descriptions, see: Fate of Tests

Documentation

The following standard documents are specified for use by all participants within this Code:

Letter of Intent	Appendix 1, Form B.1
Test Sponsor Self Evaluation Check List of Compliance	Appendix 1, Form B.2
Engine Test Registration Form for Candidate Tests	Appendix 1, Form E.1
Engine Test Registration Form for Reference Tests	Appendix 1, Form E.2
Engine Test Cancellation Form	Appendix 1, Form E.3
Correction of Error Form	Appendix 1, Form E.4

Electronic Data Transfer – the movement of data files from one location to another using a secure socket-layer server. In this case, files are prepared by the Test Laboratory according to the data dictionary and are transmitted to ERC.

Engine Test Stand

The specific location within a test facility of the test equipment together with, but not necessarily limited to: a dynamometer, the test engine and all associated instrumentation and control apparatus which are appropriate to the proper conduct of the specified engine test procedure.

Engine Test Start

A test is deemed to have started when the engine has been charged with the test oil (which can be either a candidate or reference oil.) The test has not started if the engine is being 'run-in' on a specific 'run-in' oil.

For the M111FE, where a baseline reference oil is run prior to the candidate, the test start is considered to be when the candidate oil is charged to the engine.

ERC Summary

A summary, generated by ERC at the request of the Test Sponsor, of all engine tests which were registered on a Sponsor Code as part of an ATC Data Set or ATC Programme. It will include: all engine tests registered for each Sponsor Code/Modification; the entire Formulation/Stand Code (see Section E, p.E.4); the fate of each test; and, for each Operationally Valid and Completed Test, a summary of the 'A' variable test results (see Section F).

Fate of Tests – The outcome of a candidate or reference test.

FATE OF TESTS	STATUS
<p>Operationally Valid, Completed (and in accordance) (Previously: Operationally Valid and Completed; or Completed, Valid)</p>	<ul style="list-style-type: none"> • The test ran for full duration. • All required data were submitted to ERC in the proper format. • The Test Laboratory concluded that the test was operationally valid. • The Test Laboratory concluded that the test was run in accordance with the ATC Code. • The Test Stand and the Test Method met the requirements of the Code (i.e. Accreditation, Reference and Test Status (see Section D, Table 1)).
<p>Operationally Valid, Completed (and not in accordance)</p>	<ul style="list-style-type: none"> • The test ran for full duration. • All required data were submitted to ERC in the proper format. • The Test Laboratory concluded that the test was operationally valid. • The Test Laboratory concluded that the test was not run in accordance with the ATC Code; or • The Test Stand and the Test Method does not yet meet the requirements of the Code (i.e. Accreditation, Reference and Test Status (see Section D, Table 1)).
<p>Operationally Valid, Completed, Non-Interpretable Special Case</p>	<ul style="list-style-type: none"> • The test ran for full duration. • All required data were submitted to ERC in the proper format. • The Test Laboratory concluded that the test was operationally valid. • The test operation and/or test results meet the special case conditions as defined by the relevant industry group that oversees the test method. • The test results are not interpretable and cannot be used for industry requirements
<p>Operationally Valid and Stopped by Sponsor</p>	<ul style="list-style-type: none"> • The Test Sponsor requested the test be stopped early. • The Test Laboratory concluded that the test had been operationally valid prior to cessation. • Test Validity Statement (as a minimum) has been submitted to ERC.
<p>Operationally Valid, Terminated</p>	<ul style="list-style-type: none"> • The Test Laboratory stated that the test was terminated. • The Test Laboratory concluded that the test had been operationally valid prior to cessation. • The reason(s) for test cessation do(es) not fall within 'Valid and Stopped' or 'Invalid and Aborted' categories. • Test Validity Statement (as a minimum) has been submitted to ERC with a comment showing reason for the test termination.

Fate of Test (continued)

<p>Operationally Invalid and Completed: (previously: Completed, Invalid)</p>	<ul style="list-style-type: none"> • The test ran for full duration. • The Test Laboratory concluded that the test was operationally invalid, and the Test Validity Statement (as a minimum) has been submitted to ERC.
<p>Operationally Invalid and Aborted</p>	<ul style="list-style-type: none"> • The Test Laboratory stated that the test was discontinued or aborted. • The Test Laboratory concluded that the test was operationally invalid. • Test Validity Statement (as a minimum) has been submitted to ERC with a comment showing reason for the test abort.
<p>Cancelled</p>	<ul style="list-style-type: none"> • ERC have received a Test Cancellation Form from either the Test Sponsor or Test Laboratory prior to the test start.
<p>Pending</p>	<ul style="list-style-type: none"> • The Part A Registration has been submitted but the Part B Registration has not been submitted, or • The test has been fully registered but the Test Validity Statement and Engine Test Results Form have not been received, or • The test has been fully registered but there are format errors on the Test Validity Statement or with 'A' Variables data.
<p>Completed, Unresolved</p>	<ul style="list-style-type: none"> • Where a completed test/result does not comply with the above categories it will be assessed 'Completed, Unresolved'.
<p>REFERENCE TESTS Operationally Valid, Completed (and in accordance and within acceptance bands)</p>	<ul style="list-style-type: none"> • The test ran for full duration • All required data were submitted to ERC in the proper format. • The Test Laboratory concluded that the test was operationally valid. • The Test Laboratory concluded that the test was run in accordance with the ATC Code. • The Test Stand and the Test Method met the requirements of the Code (i.e. Accreditation, Reference and Test Status (see Section D, Table 1). • The test results were within the acceptance bands in place at the start of the test for all parameters.
<p>REFERENCE TESTS Operationally Valid, Completed (and in accordance and NOT inside acceptance bands)</p>	<ul style="list-style-type: none"> • The test ran for full duration • All required data were submitted to ERC in the proper format. • The Test Laboratory concluded that the test was operationally valid. • The Test Laboratory concluded that the test was run in accordance with the ATC Code. • The Test Stand and the Test Method met the requirements of the Code (i.e. Accreditation, Reference and Test Status (see Section D, Table 1). • One or more test results were outside the acceptance bands in place at the start of the test for all parameters.
<p>Discontinued/Aborted (Superseded in September 1997 by Valid and Stopped; or, Invalid and Aborted; or Valid and Terminated)</p>	<ul style="list-style-type: none"> • The Test Laboratory stated the test was discontinued or aborted.

Final (Candidate) Formulation - See **ATC Final (Candidate) Formulation**.

Formulation Modification(s)

Permissible adjustment(s) to a formulation. See Section H.

Fundamental Formulation Knowledge

Fundamental Formulation Knowledge is founded upon an understanding of proprietary formulation technologies and the inter-relationship of basestock and additive performance in bench engine tests and in the field.

Fundamental Formulation Knowledge is built up through extensive and continuing experience in the development of automotive lubricants, and results in a comprehensive understanding of the effect of formulation modifications within specific lubricant additive technologies.

Identity Codes - See **Sponsor ID** and **Laboratory ID**.

Initial/Intermediate (Candidate) Formulation - See **ATC Initial/Intermediate (Candidate) Formulation**.

Relative (Percentage) Change

The fractional change made to a lubricant constituent, expressed in percentage terms.

Soap Level

The organic chemical part of the detergent.

Sponsor Code

A candidate lubricant coding chosen by the test sponsor and used to facilitate the tracking of formulations.

Invalid/Invalidity - See **Test Validity**

Laboratory ID

A unique two-letter combination, agreed with ERC, and used to identify the Test Laboratory at which the test is to be conducted. Where a ACC Laboratory ID already exists then the same coding shall be used, wherever possible.

Letter of Intent

A standard format letter which must be signed by a senior officer of any organisation wishing to demonstrate compliance with this Code of Practice. See Section B, Section C, and Appendix 1, Form B.1.

Performance Additive Package

A combination of, for example, detergents, dispersants, inhibitors and other components which when blended into base oils is intended to meet specific engine and bench test requirements.

Programme - See **ATC Programme**.

Programme Extension

Programme Extension is the process by which modification is made to the final formulation of an ATC Programme to meet any additional requirements.

Examples of such requirements can include but are not restricted to:

- Base Oil Interchange
- (Additional) SAE Viscosity Grades
- Viscosity Modifier Interchange
- Additional engine test performance.
- TBN Boost

See Section H.

Reference Lubricant

Reference lubricants are those which should have known field performance against which the engine test can be compared to establish correlation.

Sponsor ID

A unique two-letter combination agreed with ERC for use in all registration applications. Where a ACC Sponsor ID already exists, then the same Sponsor ID shall be used, wherever possible.

Stand - See Engine Test Stand.

Test Sponsor

That individual, company, or organisation having financial and administrative responsibility for conducting a programme.

Test Validity, or Invalidity

The terms 'Valid/Validity' and 'Invalid/Invalidity' related to engine tests with this Code refer to a Test Laboratory's assessment of the operational status of the test, unless otherwise specified.

Valid/Validity

See Test Validity.

Section J**ACRONYMS**

ACC	American Chemistry Council
ACEA	Association des Constructeurs Européens d'Automobiles
API	American Petroleum Institute
ATC	Technical Committee of Petroleum Additive Manufacturers in Europe
ATIEL	Association Technique de l'Industrie Européenne des Lubrifiants
BOI	Base Oil Interchange
CEC	Coordinating European Council for the Development of Performance Tests for Transportation Fuels, Lubricants and Other Fluids
DVM	Dispersant Viscosity Modifier
EAL	European Cooperation for Accreditation of Laboratories
EDT	Electronic Data Transfer
EELQMS	European Engine Lubricant Quality Management System
ERC	European Registration Centre
HTHS	High Temperature High Shear
ISO	International Standards Organisation
MLA	Multilateral Agreement
NDVM	Non Dispersant Viscosity Modifier
SAE	Society of Automobile Engineers
TBN	Total Base Number
VM	Viscosity Modifier
VMI	Viscosity Modifier Interchange - See Section H

Appendix 1: Forms

Form B.1: Letter of Intent

Form B.2: Test Sponsor Self-Evaluation Checklist of Compliance

Form E.1: Test Registration Form—Candidate Lubricants

Form E.2: Test Registration Form—Reference Lubricants

Form E.3: Cancellation Form for Candidate and Reference Tests

Form E.4: Correction of Error Form

LETTER OF INTENT

(Company Name)

is committed to the continuous improvement of engine lubricant testing and approval procedures as defined in the ATC Code of Practice.

Accordingly, with effect from _____, this company intends to conduct all
(Date)
relevant lubricant engine tests and programmes in accordance with the practices specified in the ATC Code of Practice.

(Typed Name)

(Title)

(Signature)

(Date)



TEST SPONSOR SELF-EVALUATION CHECKLIST OF COMPLIANCE

This Check List should be used in conjunction with pages B.3, B.4, which provides the key to each item.

Table with 7 columns: Compliance Stage, I, II, III, IV, N/A. Rows include Test Registration (1.1-1.6), Test Validity (2.1-2.3), Use and Treatment of Data (3.1-3.2), Validity or Interpretation Questions (4.1), Formulation Modifications (5.1-5.3), and Programme Extensions (6.1-6.4).

COMPLIANCE STAGES *

- I No compliance with the Code.
II Item affected by issues having real importance to, or substantial consequences for, implementing the Code.
III Item affected by issues having no real importance to, or no substantial consequences for, implementing the Code.
IV Full compliance with the Code.
N/A Not applicable.

Auditor

Executive Officer or Delegated Authority Typed Name/Title

Test Sponsor Company Name

Date

Signature

Date



European Registration Centre

<https://atc-erc.org>

Services Provided By:



A Program of ASTM International

CANDIDATE

Engine Test Registration Form

Registration Key:

Part A – completed by Test Sponsor			
Test Sponsor:		Contact:	
Address:			
City:		State/Province:	
Country:		Postal Code:	
Phone Number:		Fax Number:	
Email:		Date:	**Time:
Test Laboratory:		Test Type:	
Formulation Stand Code:			
SAE Viscosity Grade:		Sponsor In-House Number:	

Part B – completed by Test Laboratory			
Test Lab:		Contact:	
Address:			
City:		State/Province:	
Country:		Postal Code:	
Phone Number:		Fax Number:	
Email:		Date:	**Time:
Test Number:		Stand Number:	
Round Robin (Yes/No):		Estimated Start Date:	
Formulation Stand Code:			
Prepared By:			

Part C – ATC European Registration Center	
Part A Date Received:	**Time Received:
Part B Date Received:	**Time Received:

***Times shown are U.S. Eastern Time Zone.*

Print this page and retain for your records – If anything is in error please notify the ATC ERC immediately.
The test lab and ATC ERC will notify you via email upon successful scheduling of request.



European Registration Centre

<https://atc-erc.org>

Services Provided By:



A Program of ASTM International

REFERENCE

Engine Test Registration Form

Registration Key:

Part A – completed by Test Sponsor			
Test Sponsor:		Contact:	
Address:			
City:		State/Province:	
Country:		Postal Code:	
Phone Number:		Fax Number:	
Email:		Date:	**Time:
Test Laboratory:		Test Type:	
Formulation Stand Code:			
SAE Viscosity Grade:		Sponsor In-House Number:	

Part B – completed by Test Laboratory			
Test Lab:		Contact:	
Address:			
City:		State/Province:	
Country:		Postal Code:	
Phone Number:		Fax Number:	
Email:		Date:	**Time:
Test Number:		Stand Number:	
Round Robin (Yes/No):		Estimated Start Date:	
Formulation Stand Code:			
Prepared By:			

Part C – ATC European Registration Center	
Part A Date Received:	**Time Received:
Part B Date Received:	**Time Received:

**Times shown are U.S. Eastern Time Zone.

Print this page and retain for your records – If anything is in error please notify the ATC ERC immediately.
 The test lab and ATC ERC will notify you via email upon successful scheduling of request.



European Registration Centre

<https://atc-erc.org>

Services Provided By:



A Program of ASTM International

Sponsor Cancellation Form

Registration Key:

Test Sponsor Information			
Test Sponsor:		Contact:	
Address:			
City:		State/Province:	
Country:		Postal Code:	
Phone Number:		Fax Number:	
Email:		Part A Date:	Part A Time*:
Formulation Stand Code:			
Reason For Cancellation:			

Laboratory Information			
Test Lab:		Contact:	
Address:			
City:		State/Province:	
Country:		Postal Code:	
Phone Number:		Fax Number:	
Email:		Part B Date:	Part B Time*:
Test Number:		Stand Number:	
Test Type:		Estimated Start Date:	
Formulation Stand Code:			

ATC European Registration Centre	
Cancellation Date Received:	Time Received*:

Print this page and retain for your records – If anything is in error please notify the ATC ERC immediately.
 The ATC ERC will notify you via email upon receiving the request.
 * All listed times are US Eastern.

Adherence to Reference Protocol And Code of Practice Issue Resolution Process

Background:

As noted in Sections B.9 and C.9, the ATC QMWG has the authority to resolve any issues that may arise for Test Sponsor code compliance and Test Lab adherence to reference protocol. These items may be discovered through various processes which can include sponsor and lab internal or external quality audits, ERC registration data verification, and ERC review of reference test data. The following outline summarizes the review process.

Review Process:

- I. Discovery – Issues may be discovered by sponsors, labs, or the ERC. Upon discovery, the issue must be brought forth to the ERC. If the issue is discovered by the ERC, the ERC must contact the sponsor or lab.
- II. Documentation – the ERC will work with the involved parties to document the full scope of the issue. This should include the total impact of the issue, the root cause, and corrective action.
- III. Reporting – The ERC will report the incident to the QMWG Chair and Vice-Chair for resolution. The issue will be presented in an anonymous manner and will not reveal, in any way, the identity of the parties involved.
- IV. Resolution – Upon agreement of both the Chair and Vice-Chair, the specified resolution will be enacted by the ERC. The resolution may be to accept the discrepancy, to accept the discrepancy contingent upon a specified action for the party involved, or to not accept the discrepancy as being in compliance with the code or reference protocol.
- V. Follow Through – Upon resolution, the ERC will work with the party involved to close out the issue, including verification of any actions. Documentation will be presented to the party involved and the QMWG. The ERC will also maintain a comprehensive list of all issues.

Please contact the ERC if you have any questions on this process.

Appendix 3

**Checklist of Test Laboratory Requirements for Participating in
ERC Registration**

Full details of the test lab requirements are shown in Section C of the ATC Code of Practice. Labs interested in entering the registration system should contact the ATC ERC for guidance. A summary checklist of lab requirements:

- Submit annual ATC Letter of Intent
- Maintain ISO 17025 accreditation
- Be an active member of the CEC Working Group**
- Conduct all testing, candidate and reference, in accordance with the ATC Code of Practice and applicable test methods
 - Use unique identifiers for each test stand
 - Consent to release of unattributable reference test data
 - Conduct registered candidate testing only on test stands that have successfully met reference protocol
 - Report all registered candidate and reference test data as required

**If the test is not a CEC method, the lab is expected to be an active member of the industry group which oversees the test method.